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DATE: November 11, 2004

**F A X C O M M U N I C A T I O N**

TO: Frantzy POINVIL FAX: 703-872-9326  
PRIMARY EXAMINER PHONE: 703-308-1113  
Group Art Unit 3628

FROM: Philip Koenig FAX: 781.721.9597  
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RE:

Application SN 09/228909  
Title: Trading Systems for Fixed-Value Contracts  
Applicants: Lawrence Kohls et al

**MESSAGE**

Please find enclosed, for filing in this case, a "Corrected Listing of All Claims Pending At November 10 Upon Submission of Amendment to Cure Informalities".

This "corrected" listing of pending claims is identical to the Listing of All Claims Pending at November 10, 2004 submitted yesterday, November 10, 2004, except only that the claim status identifier "Currently amended" has been substituted, in each instance of a claim being currently amended, for the incorrect claim status identifier "Newly amended" that appears in the initial submission of this claim listing.

Sincerely yours,

  
Philip Koenig

Reg. No. 31,386

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**CERTIFICATE OF FACSIMILE TRANSMISSION**

This correspondence is being transmitted by facsimile transmission to the United States Patent and Trademark Office on November 11, 2004, to Fax No. 703-872-9326

By Philip Koenig 

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Application Serial No: 09/228909

Attorney Docket No: LAK-1

Initially Filed: January 11, 1999

RCE Filed: June 19, 2002

Art Unit: 3628

Applicants: Lawrence KOHLS et al

Primary Examiner: Frantzy POINVIL

Title: **TRADING SYSTEM FOR FIXED VALUE CONTRACTS**

**TRANSMITTAL OF CORRECTED LISTING OF ALL CLAIMS PENDING  
AT NOVEMBER 10, 2004  
UPON SUBMISSION OF AMENDMENT TO CURE INFORMALITIES**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

By Facsimile to: 703-872-9326

Commissioner:

Herewith please find enclosed the following papers for filing in the matter of the above-identified application for patent:

1. Corrected Listing of All Claims Pending at November 10, 2004 Upon Submission of Amendment to Cure Informalities

This "corrected" listing of pending claims is identical to the Listing of All Claims Pending at November 10, 2004 submitted yesterday, November 10, 2004, except only that the claim status identifier "Currently amended" has been substituted, in each instance of a claim being currently amended, for the incorrect claim status identifier "Newly amended" that appears in the initial submission of this claim listing.

Should any fee be due upon the filing of this Listing of Claims Pending, you are hereby requested and authorized to charge any such fee to Pittas // Koenig deposit account No. 502052.

Dated: November 11, 2004

Respectfully submitted,

By 

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